

The Strong Perfect-Graph Conjecture Is True for $K_{1,3}$ -Free Graphs

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The partition number θ of a graph G is the minimum number of cliques which cover the points of G . The independence number α of G is the maximum number of points in an independent (stable) set of G . A graph G is said to be perfect if $\theta(H) = \alpha(H)$ for every induced subgraph H of G . Berge's strong perfect-graph conjecture states that G is perfect iff G does not contain C_{2n+1} and \bar{C}_{2n+1} , $n \geq 2$ as an induced subgraph. In this paper we show that this conjecture is true for graphs which do not have $K_{1,3}$ as an induced subgraph. The line graphs thus belong to the class of graphs for which the conjecture is true.

1. INTRODUCTION

We consider only finite, loopless graphs without multiple edges. Thus we adopt the notation $G = (V, E)$ where V is the finite vertex set of the graph G and the edge set E is a specified set of 2-element subsets of V . We denote $|V| = p$ and $|E| = q$. The complement of G is the graph $\bar{G} = (V, \bar{E})$, where \bar{E} is the complement of E in the set of all 2-element subsets of V . To simplify the notation, the 2-element subset $\{u, v\}$ of V is denoted by uv . Unless otherwise specified V , E , and \bar{E} will have the above meaning with respect to the graph G under discussion. When a graph H has to be specified we use the more elaborate notation $V(H)$, $E(H)$ and $\bar{E}(H)$.

For any $U \subseteq V$, $\langle U \rangle$ denotes an induced subgraph of G on U . If H is a graph on fewer points than G , G is said to be H -free if G has no induced subgraph H . For $v \in V$ let $N(v) = \{u \in V \mid uv \in E\}$, $\bar{N}(v) = \{u \in V \mid uv \notin E\}$ and $d(v) = |N(v)|$. When the graph G has to be specified we use the notation $N(G, v)$, $\bar{N}(G, v)$, and $d(G, v)$. For convenience of later reference

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we set $H_1(v) = \langle N(v) \rangle$, $H_2(v) = \langle \bar{N}(v) \rangle$, $H_3(v) = \langle N(\bar{G}, v) \rangle = \langle \bar{N}(v) \rangle$, and $H_4(v) = \langle \bar{N}(\bar{G}, v) \rangle = \langle N(v) \rangle$ (see Fig. 1).

If $U = \{u_1, u_2, \dots, u_k\} \subseteq V$ is such that no $u_i u_j \in E$, U is called an *internally stable set* (*independent set*). A maximum independent set is called a MISS and the cardinality of a MISS is called *the independence number* (*stability number*) of G and is denoted by α . A *clique* is a maximal complete subgraph, and the maximum size (number of vertices) of a clique is the *density* (or *clique number*) of G , denoted by ω . The minimum number of cliques that cover the points of G is same as the minimum number of disjoint complete subgraphs that cover the points of G [1]. A minimum number of cliques covering the vertices of G will be called a θ -cover of G . The *chromatic number* χ of G is the minimum number of colors necessary to color the vertices of G such that no two adjacent vertices are colored alike. A χ -coloring of G is a coloring of G using minimum number of colors. A *color class* in G is the set of all vertices of G receiving the same color in a coloring of G . If π is a χ -coloring of G we denote by $C_u(\pi)$ the color class of G in π containing u . Unless otherwise specified the parameters always refer to the graph G .

Berge [1] defined a graph to be α -perfect if $\alpha(H) = \theta(H)$ holds for every induced subgraph H of G and to be χ -perfect if $\chi(H) = \omega(H)$ for every induced subgraph H of G . A graph is said to be perfect if it is both α -perfect and χ -perfect.

In [3], Lovász settled in the affirmative the *weak perfect-graph conjecture*, that a graph is α -perfect iff it is χ -perfect. Because of this we refer to such graphs simply as perfect graphs. This result was strengthened by Lovász in [4] by proving

THEOREM 1. *For a graph the following conditions are equivalent: For every induced subgraph H of G*

- (i) $\chi(H) \alpha(H) \geq |V(H)|$;
- (ii) $\chi(H) = \omega(H)$;
- (iii) $\theta(H) = \alpha(H)$.

The *strong perfect-graph conjecture*, as it now stands, asserts that a graph is perfect if it has neither C_{2n+1} nor \bar{C}_{2n+1} ($n \geq 2$) as an induced subgraph.

A graph G is said to be *critical* if it is not perfect, and $G - v$ is perfect for every $v \in V$. An easy consequence of Lovász' result is the

COROLLARY 1. *G is critical iff \bar{G} is critical.*

Sachs investigated the perfect-graph conjecture at some length in [5].

Some results either explicitly obtained by him or implied by his suggestions are collected below for ready reference.

THEOREM 2. *If G is critical then*

- (i) $\alpha \geq 2$.
- (ii) *For any complete subgraph Q in G , $\alpha(G - Q) = \alpha$. In particular, $\alpha(G - v) = \alpha$ for any $v \in V$.*
- (iii) *For any $v \in V$, there are at least α distinct MISSES containing v .*
- (iv) *For any $v \in V$, $\alpha(H_2(v)) = \alpha - 1$. Each member of a θ -cover for $H_2(v)$ contains at least two points.*
- (v) *For any $v \in V$, $d(v) \leq p - 2\alpha + 1$.*
- (vi) *For any $v \in V$, $H_2(v)$ and $H_4(v)$ are connected.*

Since, by Corollary 1, the complement of a critical graph is also critical we get the following translation of the above theorem to \bar{G} .

THEOREM 3. *If G is critical then*

- (i) $\omega \geq 2$.
- (ii) *For any independent set S of G , $\omega(G - S) = \omega$. In particular, $\omega(G - v) = \omega$, for any $v \in V$.*
- (iii) *For any $v \in V$, there are at least ω maximum cliques containing v .*
- (iv) *For any $v \in V$, $\omega(H_1(v)) = \omega - 1$.*
- (v) *For any $v \in V$, $d(v) \geq 2\omega - 2$.*

THEOREM 4. *If $\omega = 2$ (that is, G is triangle free), G is perfect iff it has no induced odd cycle C_{2n+1} , $n \geq 2$.*

Proof. (i) G has no odd induced cycle and G is triangle free $\Rightarrow G$ has no odd cycle $\Rightarrow G$ is bipartite, and hence perfect.

(ii) If G has an odd induced cycle H , H is an imperfect subgraph of G , so that G cannot be perfect.

COROLLARY 2. *If G is critical and $\omega = 2$, then $G = C_{2n+1}$, $n \geq 2$.*

COROLLARY 3. *If G is critical and $\alpha = 2$, then $G = \bar{C}_{2n+1}$, $n \geq 2$.*

In the next section we prove a few more preliminary results on critical graphs and a lemma which plays a key role in the proof of the main Theorem 7 of section 3, asserting the validity of the strong conjecture for $K_{1,3}$ -free graphs.

2. SOME PRELIMINARY RESULTS AND A BASIC LEMMA

THEOREM 5. *If G is critical, then $\omega\alpha = p - 1$.*

Proof. Since G is critical, $G - v$ is perfect for every $v \in V$. Hence by Theorem 1(i),

$$\omega(G - v) \alpha(G - v) \geq |V(G - v)| = p - 1.$$

But by 2(ii) and 3(ii) this becomes

$$\omega\alpha \geq p - 1.$$

Again by Theorem 1, G is imperfect implies there is an induced subgraph H of G such that $\omega(H) \alpha(H) < |V(H)|$. Since for every $v \in V$, $G - v$ is perfect, H cannot be a subgraph of $G - v$. Thus $H = G$ and $\omega\alpha < p$. That is $\omega\alpha \leq p - 1$, completing the proof.

COROLLARY 4. *For every $v \in V$ in a critical graph G , each color class in a χ -coloring of $G - v$ is a MISS.*

Proof. G is critical $\Rightarrow G - v$ is perfect $\Rightarrow \omega(G - v) = \chi(G - v)$ (Theorem 1), that is $\omega(G) = \chi(G - v)$.

But, G is critical $\Rightarrow \omega\alpha = p - 1$ (Theorem 4)

$$\Rightarrow \chi(G - v) \alpha = p - 1 \text{ (using previous step)}$$

$$\Rightarrow \chi(G - v) \alpha(G - v) = p - 1 \text{ (since } \alpha(G - v) = \alpha \text{)}$$

$$\Rightarrow \text{Every color class in a } \chi\text{-coloring of } G - v \text{ is a MISS.}$$

LEMMA 1. *Let G be a graph with the following properties:*

- (i) G has a spanning cycle $v_1, v_2, \dots, v_{2n+1}$;
- (ii) $\langle v_{2n}, v_{2n+1}, v_1, v_2, v_3, v_4 \rangle = P_6$;
- (iii) $\langle V(G) - \{v_1, v_2\} \rangle = P_{2n-1}$;
- (iv) G is $K_{1,3}$ -free.

Then G has an odd cycle C_{2n+1} , $n \geq 2$.

Proof. Let $T = \{v_{2n}, v_{2n+1}, v_1, v_2, v_3, v_4\}$, $U_1 = N(v_1) - \{v_2, v_{2n+1}\}$ and $U_2 = N(v_2) - \{v_1, v_3\}$. Then $|U_1| \leq 2$ and $|U_2| \leq 2$. For, conditions (ii) and (iii) ensure that $U_1 \subseteq V - T$ and if $\{v_r, v_s, v_t\} \subseteq U_1$, then at least a pair of points, say v_r, v_t are nonadjacent. But this implies that $\langle v_1, v_{2n+1}, v_r, v_t \rangle$ is a $K_{1,3}$, violating condition (iv). The same argument also yields the fact that $\langle U_1 \rangle = K_2$ or K_1 or \emptyset , and a similar result about

$\langle U_2 \rangle$. If $U_1 = \{v_r\}$, $\langle v_r, v_1, v_{r-1}, v_{r+1} \rangle = K_{1,3}$. Thus $\langle U_1 \rangle \neq K_1$; and similarly $\langle U_2 \rangle \neq K_1$. The remaining cases to be considered are

- (i) $|U_1| = |U_2| = 0$,
- (ii) $|U_1| = |U_2| = 2$,
- (iii) $|U_1| = 2, |U_2| = 0$,
- (iv) $|U_1| = 0, |U_2| = 2$.

In case (i), $G = C_{2n+1}$ and there is nothing to prove. In case (ii), if $U_1 = \{v_t, v_{t+1}\}$, then v_2v_t and v_2v_{t+1} are in E . If not $\langle v_1, v_2, v_{2n+1}, v_t \rangle = K_{1,3}$, etc., so that $\langle v_1, v_2, v_t, v_{t+1} \rangle$ is a K_4 . But then either $\langle v_1, v_{2n+1}, \dots, v_{t+1} \rangle$ or $\langle v_2, v_3, \dots, v_t \rangle$ is an odd induced cycle, thus proving the lemma. In case (iii), if $U_1 = \{v_t, v_{t+1}\}$, $\langle v_1, v_2, v_{2n+1}, v_t \rangle = K_{1,3}$, so that this case does not arise. Similarly case (iv) is also ruled out.

3. THE STRONG PERFECT-GRAPH CONJECTURE FOR $K_{1,3}$ -FREE GRAPHS

We split the long proof of the main theorem into a number of lemmas.

LEMMA 2. *If G is critical and $K_{1,3}$ -free, then for every $v \in V$, $d(v) = 2\omega - 2$.*

Proof. By Theorem 3(v), $d(v) \geq 2\omega - 2$. Since G is $K_{1,3}$ -free, $\alpha(H_1(v)) \leq 2$. But $\alpha(H_1(v)) \neq 1$, for the contrary assumption implies $\langle \{v\} \cup N(v) \rangle$ is a clique Q and $\alpha(G - Q) = \alpha - 1$, violating 2(ii). Thus $\alpha(H_1(v)) = 2$. Now $H_1(v)$ is perfect and, by 1(i), $\omega(H_1) \alpha(H_1) \geq |V(H_1)| = d(v)$. But by Theorem 3(iii), v is in a maximum clique of G so that $\omega(H_1) = \omega - 1$. This gives $(\omega - 1)2 \geq d(v)$ and the proof is complete.

LEMMA 3. *Let G be a $K_{1,3}$ -free graph and v be any point of G . Let w be any arbitrary point in $N(\bar{G}, v)$ and consider any χ -coloring π of $\bar{G} - w$. Let $C_v = C_{1v} \cup \{v\}$, be the color class containing v in π and $C_{2v} = \bar{N}(\bar{G}, v) - C_v$. Then C_{2v} is an independent set of cardinality $\omega - 1$.*

Proof. The assertion of the lemma will be proved if we show that $\langle C_{2v} \rangle$ is a complete subgraph in G of cardinality $\omega - 1$.

From Lemma 2, $|C_{1v} \cup C_{2v}| = 2\omega - 2$. Also by corollary $|C_{1v} \cup \{v\}| = \omega$, so that $|C_{1v}| = \omega - 1$. Thus $|C_{2v}| = \omega - 1$, and it only remains to be verified that $\langle C_{2v} \rangle$ is a complete subgraph in G .

Suppose the contrary, i.e., there are points $r, s \in C_{2v}$ such that $rs \notin E$. Consider any χ -coloring Σ of $G - w$ where w is the point of the lemma considered as the point of G . This induces a coloring of $H_1(v)$. But, as

already seen, $\alpha(H_1(v)) = 2$. Since $H_1(v)$ is perfect, $\theta(H_1(v)) = 2$; i.e., there exist two disjoint $K_{\omega-1}$'s covering the vertices of $H_1(v)$. Therefore, in any minimal coloring of $H_1(v)$, each color is assigned to two points. Since $\langle C_{1v} \rangle$ is a clique in $H_1(v)$, each point of C_{1v} receives one color, the other point receiving the same color in $H_1(v)$ being in C_{2v} . Thus no two points of C_{2v} receive the same color in any coloring of $H_1(v)$. Applying this to the coloring of $H_1(v)$ induced by the χ -coloring Σ of $G - w$, we suppose that C_r and C_s are the color classes of Σ in $G - w$ containing r and s , respectively. By Corollary 4, $|C_r| = |C_s| = \alpha$. Let r' and s' be the points of C_{1v} in the color classes C_r and C_s . Then all other points of C_r and C_s are in $H_2(v)$ (see Fig. 1).

Now rs' and sr' are in E . For, if not, $\langle v, r, s, s' \rangle = K_{1,3}$ and $\langle v, r', r, s \rangle = K_{1,3}$. Further, there is an $r'' \in C_r - \{r, r'\}$ such that $sr'' \in E$. For, otherwise $\{s\} \cup (C_r - \{r\})$ is an independent set in $G - w - C_v$ of cardinality α , violating the fact that $\alpha(G - w - C_v) = \alpha(G - w) - 1 = \alpha - 1$. Similarly, there is an $s'' \in C_s - \{s, s'\}$ such that $rs'' \in E$. Since r'' and s'' are in $H_2(v)$, which is connected (by Theorem 2(vi)), there is a shortest path P'' between r'' and s'' in $H_2(v)$.

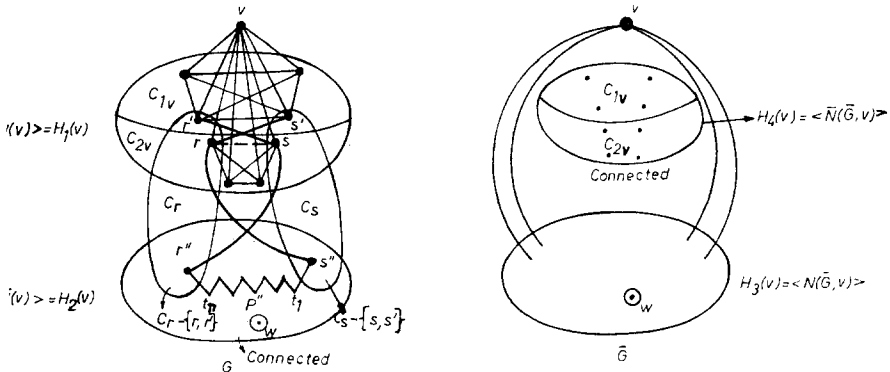


FIGURE 1

Let $P'' = s''t_1t_2 \dots t_n r''$ with $T = \{t_1, t_2, \dots, t_n\}$. Then it is easily seen that $s''r' \notin E$ and $r''s' \notin E$, for the contrary assumptions lead to the conclusions $\langle s'', r, r', r'' \rangle = K_{1,3}$ and $\langle r'', s, s', s'' \rangle = K_{1,3}$.

Now we consider different possibilities concerning T and show that each can lead to a contradiction, thus discrediting the hypothesis that $rs \notin E$ and establishing the lemma.

Case (i). $T = \emptyset$. This implies that $\langle s'', r, v, s, r'' \rangle = C_s$, contradicting the fact that a critical graph cannot have C_{2n+1} , $n \geq 2$ as a proper induced subgraph.

Therefore $T \neq \emptyset$, and let $T' = \{t_i \in T \mid t_i r \in E \text{ or } t_i s \in E\}$.

Case (ii). $T' = \emptyset$. Two subcases now arise. If $|T|$ is even, then $\langle T \cup \{s'', r, v, s, r''\} \rangle$ is an odd induced cycle. If $|T|$ is odd, then $\langle T \cup \{s'', r, s', r', s, r''\} \rangle$ satisfies the conditions of Lemma 1, and hence contains an odd induced cycle C_{2m+1} , $m \geq 2$.

Therefore we assume $T' \neq \emptyset$. Now it can easily be observed that r can be adjacent only to $t_1 \in T$. For if $rt_i \in E$ for $t_i \in T - \{t_1\}$, then $\langle r, s'', t_i, v \rangle = K_{1,3}$. Similarly s can be adjacent only to $t_n \in T$.

Case (iii). There exists a $t \in T'$ such that $tr \in E$ and $ts \in E$. This implies that $t = t_1 = t_n$. But then $tr' \notin E$ and $ts' \notin E$ (otherwise $\langle t, r, r', r'' \rangle = K_{1,3}$ and $\langle t, s, s', s'' \rangle = K_{1,3}$). Thus $\langle t, r, s', r', s \rangle = C_5$.

Case (iv). T' consists only of points $t \in T$ such that either $tr \in E$ and $ts \notin E$ or $ts \in E$ and $tr \notin E$.

Let P be the shortest $r-s$ path using the points of P'' and let $P' = P - \{r, s\}$. If $|P'|$ is even, then $\langle \{v\} \cup P \rangle$ is an induced odd cycle C_{2m+1} , $m \geq 2$. Thus we assume $|P'|$ is odd.

Now P' is P'' , or $P'' - r''$, or $P'' - s''$, or $P'' - s'' - r'' = T$. As the subcase $P' = P''$ is case (ii), which is ruled out, we consider only the latter three cases and show in each case that $\langle t_j, r, s', r', s, t_k \rangle = P_6$ where t_j and t_k are the first and the last points of P' .

Subcase (iv)(a). $P' = P'' - r''$ (see Fig. 2(a)). Then $T \cup \{s''\} = P'$ so that $|T|$ is even. Thus $|T| \geq 2$. Now $\langle r, s', r', s \rangle = P_4$, $s''r' \notin E$, $s''s \notin E$ and $s''t_n \notin E$. Further, $rt_n \notin E$ (for case (iii) has been ruled out). Also $r't_n \notin E$; for

$$\begin{aligned} r't_n \in E &\Rightarrow r't_{n-1} \in E \text{ (otherwise } \langle t_n, r', r'', t_{n-1} \rangle = K_{1,3}) \\ &\Rightarrow \text{also } r't \notin E \text{ for any } t \in P' - \{t_n, t_{n-1}\} \\ &\text{(otherwise } \langle r', v, t_n, t \rangle = K_{1,3}) \\ &\Rightarrow \langle P' \cup \{r, v, r'\} - \{t_n\} \rangle = C_{2m+1}, m \geq 2. \end{aligned}$$

Again $s't_n \notin E$, for, otherwise, $\langle s', r, t_n, r'' \rangle = K_{1,3}$. Thus we have $\langle s'', r, s', r', s, t_n \rangle = P_6$.

Subcase (iv)(b). $P' = P'' - s''$. This is similar to the previous one.

Subcase (iv)(c). $P' = P'' - \{r'', s''\} = T$ (see Fig. 2(b)). It is easily seen that $t_j = t_1$ and $t_k = t_n$ and, as in subcase (iv)(a), we prove that $\langle t_1, r, s', r', s, t_n \rangle = P_6$.

Now let $H = \langle P' \cup \{r, s', r', s\} \rangle$. Then H contains a spanning odd cycle C_{2m+1} and $\langle V(H) - \{r', s'\} \rangle$ is a P_{2m-1} and H does not have $K_{1,3}$ as an induced subgraph. Thus H satisfies all the conditions of Lemma 1 and

therefore has an induced odd cycle C_{2k+1} , $k \geq 2$, which is also an induced odd cycle of G , violating criticality of G .

Thus each case leads to a contradiction and the lemma is established.

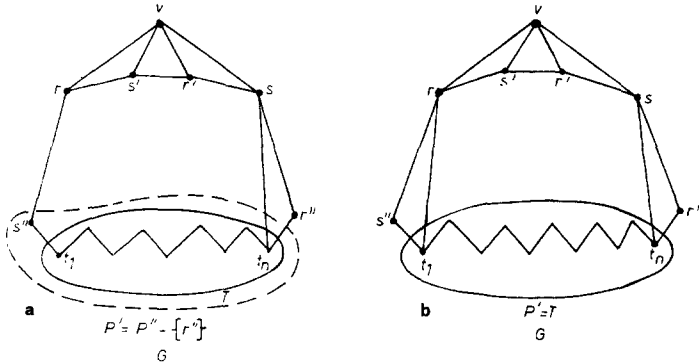


FIGURE 2

COROLLARY 5. *With the assumptions and notations in Lemma 3, the color class containing v in the χ -coloring π of $\bar{G} - w$ is either $C_{1v} \cup \{v\}$ or $C_{2v} \cup \{v\}$.*

The proof follows by Lemma 3 and the fact that a connected bipartite graph has a unique bipartition.

LEMMA 4. *With the assumptions and notations in Lemma 3, C_{2v} is contained in some color class in the χ -coloring π of $\bar{G} - w$.*

Proof. The lemma asserts that all the points of C_{2v} are colored alike in π . If not, the points of C_{2v} may be partitioned as $C_{2v} = L_1 \cup L_2 \cup \dots \cup L_k$ ($k \geq 2$) such that each L_i is in a different color class in π .

Case (i). Each L_i has just one point. Let $L_i = \{u\}$, and let C_u be the color class of π containing u . Since v and each point of $C_{2v} - \{u\}$ are assigned colors different from that of u , $C_u - \{u\} \subset N(\bar{G}, v)$ and $(C_{2v} - \{u\}) \cap C_u = \emptyset$. Thus $(C_u - \{u\}) \cup (C_{2v} - \{u\}) \cup \{v\} \subseteq \bar{N}(\bar{G}, u)$ and the former set has cardinality $2\omega - 2$. But $|\bar{N}(\bar{G}, u)| = |N(G, u)| = 2\omega - 2$ by Lemma 2. Thus $\bar{N}(\bar{G}, u) = (C_u - \{u\}) \cup (C_{2v} - \{u\}) \cup \{v\}$, so that $C_{1v} \subset N(\bar{G}, u)$. Thus u is adjacent in \bar{G} to every point of C_{1v} . Since u is arbitrary, every point of C_{2v} is adjacent to every point of C_{1v} ; that is, $\langle C_{1v} \cup C_{2v} \rangle = K_{\omega-1, \omega-1}$ in \bar{G} . But this implies that v belongs to only two MISSES in \bar{G} (namely, $\{v\} \cup C_{1v}$ and $\{v\} \cup C_{2v}$), that is, $\omega = 2$ (by Theorem 2(iii) applied to \bar{G}). By Corollary 2 this implies $G = C_{2n+1}$, $n \geq 2$, for which the lemma is trivially true.

Incidentally, $w \in N(\bar{G}, u)$ so that $C_u - \{u\} = C_{1u}$ and $(C_{2v} - \{u\}) \cup \{v\} = C_{2u}$ (see Fig. 3a).

Case (ii). There is at least one L_i such that $|L_i| \geq 2$.

We first show that each L_i contains one u_i such that $u_i w \in \bar{E}$. Suppose that there is an L_i such that, for every $u \in L_i$, $uw \notin \bar{E}$. That is, $uw \in E$. Then $wx \in E$, for every $x \in C_u - L_i$, since otherwise $\langle u, v, w, x \rangle = K_{1,3}$ in G . Thus we observe that $\langle C_u \cup \{u\} \rangle$ is a complete subgraph of G of cardinality $\omega + 1$, which is a contradiction.

Let $|L_k| \geq 2$, and let $r \in L_1$ and $s \in L_k$, such that $rw \in \bar{E}$ and $sw \in \bar{E}$, and let C_r and C_s be the color classes containing r and s respectively, in this χ -coloring π of $\bar{G} - w$. Then, in the notation earlier employed for v (which can be adopted here since $rw \in \bar{E}$ and $sw \in \bar{E}$), $L_1 \subset C_r$, $L_k \subset C_s$, $C_r - L_1 \subset N(\bar{G}, v)$, and $C_s - L_k \subset N(\bar{G}, v)$. Further, $v \in C_{2r}$ and $v \in C_{2s}$, and if $L_1' = C_{2r} - (C_{2v} - L_1) - \{v\}$, and $L_k' = C_{2s} - (C_{2v} - L_k) - \{v\}$, then $L_1' \subset C_{1v}$ and $L_k' \subset C_{1v}$, so that $L_1' = C_{2r} \cap C_{1v}$ and $L_k' = C_{2s} \cap C_{1v}$ (see Fig. 3(b)). But then it is easy to calculate that $|L_1'| = |L_1| - 1$, and $|L_k'| = |L_k| - 1$, and $|L_k'| \geq 1$. Further, since L_1 and L_k' are included in C_{2s} , $L_1 \cup L_k'$ is an independent set in \bar{G} . Since $r \in L_1$, this implies that r is not adjacent in \bar{G} to any point of L_k' , that is, $L_k' \subset \bar{N}(\bar{G}, r) = C_{1r} \cup C_{2r}$. But $L_k' \cap C_{1r} = \emptyset$ so that $L_k' \subset C_{2r}$. Therefore $L_k' \subset C_{2r} \cap C_{1v} = L_1'$. Let $u \in L_k' \cap L_1'$. Then u is not adjacent in \bar{G} to v and to any point of $C_{2v} - L_1$ and to any point of $C_{2v} - L_k$, so that $\{u\} \cup \{v\} \cup C_{2v}$ is an independent set in \bar{G} of cardinality $\omega + 1$, which is a contradiction.

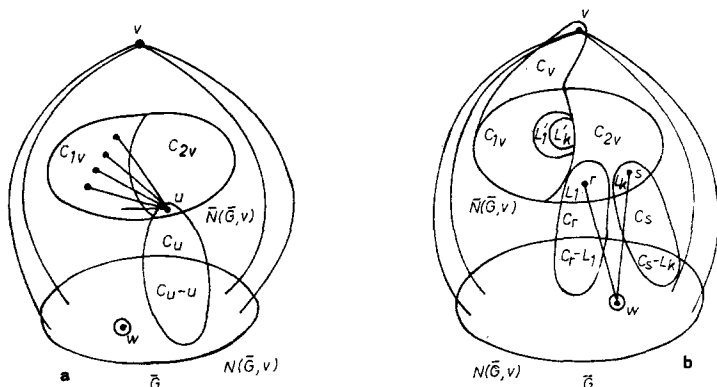


FIGURE 3

LEMMA 5. With the assumptions and notations of Lemmas 3 and 4, let $L = \{u \in C_{2v} \mid ux \in \bar{E}, \text{ for some } x \in N(\bar{G}, v)\}$. Then every point of L is a pendant vertex in $H_4(v)$.

Proof. By Lemma 4, $L \neq \emptyset$ and C_{2v} is contained in a color class of π , which is clearly $C_{2v} \cup \{w'\}$ for some $w' \in N(\bar{G}, v)$. We now claim that any point of L is adjacent in \bar{G} to every point of $N(\bar{G}, v)$ except w' .

First we prove this for any $u \in L$ such that $uw \in \bar{E}$ (In the course of the proof of Lemma 4 we have established the existence of such a u). Clearly, $C_u(\pi) = C_{2v} \cup \{w'\}$ and $C_{1u}(\pi) = C_u - \{u\}$, so that $v \in C_{2u}$, and since C_{2u} is an independent set of cardinality $\omega - 1$, it consists of v and $\omega - 2$ points of C_{1v} . Thus u is adjacent in \bar{G} to at most one point of C_{1v} . But, by the connectedness of $H_4(v)$, u is adjacent to at least one point of C_{1v} . Thus u is adjacent to exactly one point of C_{1v} , so that u is a pendant vertex in $H_4(v)$ and is adjacent to every point of $N(\bar{G}, v) - \{w'\}$.

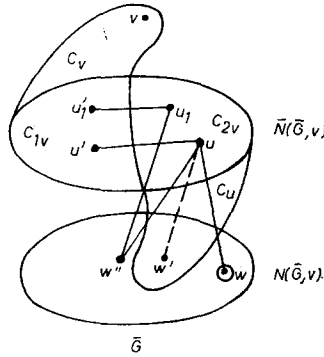


FIGURE 4

If, now, $u_1 \in L$ is such that $u_1 w'' \in \bar{E}$ for $w'' \in N(\bar{G}, v)$ but $w'' \neq w$, consider a χ -coloring π'' of $G - w''$ (see Fig. 4). Since, by the previous paragraph, $u_1 w'' \in \bar{E}$, $C_{u_1}(\pi'')$ is either $C_{1u_1} \cup \{u\} = C_{2v} \cup \{w'\}$, or $C_{2u_1} \cup \{u\} = (C_{1v} - \{u'\}) \cup \{u, v\}$ (by Corollary 5, applied to u). But the latter choice contradicts the only two possible choices, namely $C_{1v} \cup \{v\}$ and $C_{2v} \cup \{v\}$, for $C_v(\pi'')$. Thus, $C_{u_1}(\pi'') = C_{2v} \cup \{w'\}$. But $C_{u_1}(\pi'') = C_u(\pi'')$, so that $C_{2u_1}(\pi'') = \{v\} \cup S$ where $S \subseteq C_{1v}$ with $|S| = \omega - 2$. Thus, as for u earlier, with the connectedness of $H_4(v)$, we get that u_1 is adjacent to exactly one vertex of C_{1v} and is thus a pendant vertex of $H_4(v)$. (Incidentally, $ux \in \bar{E}$, $\forall x \in N(\bar{G}, v) - \{w'\}$.)

We are now ready to prove

THEOREM 6. *If G is a $K_{1,3}$ -free critical graph, then G is C_{2n+1} or \bar{C}_{2n+1} , $n \geq 2$.*

Proof. As seen earlier, in the notation of Lemma 5, $L \neq \emptyset$.

Suppose $L = C_{2v}$. Then we prove that $|L| = |C_{2v}| = 1$. If $|L| \geq 2$,

there are at least two points in C_{2v} , and therefore at least two points, say r and s , in C_{1v} . Since every point of C_{2v} is a pendant vertex (Lemma 5), there is no path between r and s in $H_4(v)$, violating the connectedness of $H_4(v)$. But $|C_{2v}| = 1 \Rightarrow \omega = 2 \Rightarrow G = C_{2n+1}$, $n \geq 2$ (by Corollary 2).

If $L \neq C_{2v}$, then there is a point $u \in C_{2v}$ not adjacent to any point of $H_3(v)$. Then, the number of points nonadjacent to u in \bar{G} , say $\bar{d}(\bar{G}, u) \geq |C_{2v} - \{u\}| + |N(\bar{G}, v)| + |\{u\}|$. That is, $\bar{d}(\bar{G}, u) \geq \omega - 2 + (p - 2\omega + 1) + 1 = p - \omega$. But, by Lemma 2, $d(G, u) = 2\omega - 2$, so that $\bar{d}(\bar{G}, u) = 2\omega - 2$. Therefore $2\omega - 2 \geq p - \omega$, i.e., $p - 1 \leq 3\omega - 3$. Using Theorem 5, this gives $\omega\alpha \leq 3\omega - 3$ or $\alpha \leq [3 - (3/\omega)]$, since $\omega > 0$ and α is an integer. Since $\alpha = 1$, this implies that $\omega = 2$, that is, $G = \bar{C}_{2n+1}$, $n \geq 2$ (from Corollary 3). This completes the proof of the theorem.

COROLLARY 6. *If G is critical and $K_{1,3}$ -free, then \bar{G} is also critical and $K_{1,3}$ -free.*

THEOREM 7 (*Berge's strong conjecture for $K_{1,3}$ -free graphs*). *If G does not have either $K_{1,3}$ or C_{2n+1} or \bar{C}_{2n+1} , ($n \geq 2$) as an induced subgraph, then G is perfect.*

Proof. Suppose G is imperfect. Let H be an induced subgraph of G with the minimum number of points for which $\theta(H) > \alpha(H)$. Then H is critical and does not have $K_{1,3}$ as an induced subgraph. Then, by Theorem 6, $H = C_{2n+1}$ or \bar{C}_{2n+1} , $n \geq 2$, contradicting the hypothesis. Therefore G is perfect.

COROLLARY 7. *To prove the strong perfect-graph conjecture it is enough to show that a critical graph does not have $K_{1,3}$ as an induced subgraph.*

COROLLARY 8. *A line graph $L(G)$ is perfect iff it has no C_{2n+1} , $n \geq 2$, as an induced subgraph.*

Proof. $L(G)$ does not have $K_{1,3}$ as an induced subgraph. Hence by Theorem 7, it is enough to prove that $L(G)$ has no \bar{C}_{2n+1} as an induced subgraph (with $n \geq 3$; for $n = 2$, $\bar{C}_{2n+1} = C_{2n+1}$). Suppose $L(G)$ has a \bar{C}_{2n+1} , $n \geq 3$, as an induced subgraph with $C_{2n+1} = v_1v_2 \cdots v_{2n+1}$. Then the induced subgraph $\langle v_1, v_2, v_4, v_5, v_{2n+1} \rangle$ is the graph of Fig. 5, which is a prohibited subgraph for a line graph.

COROLLARY 9. *A line graph $L(G)$ is perfect iff G has no C_{2n+1} , $n \geq 2$, as a subgraph.*

Proof. Follows from the fact that G has a subgraph C_{2n+1} ($n \geq 2$) iff $L(G)$ has an induced subgraph C_{2n+1} , $n \geq 2$.

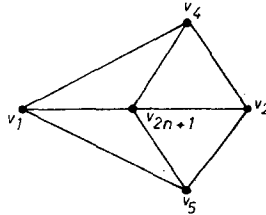


FIGURE 5

ACKNOWLEDGMENTS

The authors are very grateful to the referees for their valuable comments on the original draft, which led to this much improved version. In particular, the proof of Lemma 3 has been greatly simplified and clarified in the revision.

Our attention has been drawn to [2, 6] and to the following

THEOREM (Las Vergnas, Sumner). *Every connected $K_{1,3}$ -free graph with even number of vertices has a perfect matching.*

Perhaps this can be utilized to simplify the long proofs of some of the lemmas in this paper.

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